



**AMERICAN SADDLEBRED REGISTRY, INC.**

**Board of Directors Meeting  
Wednesday, February 10, 2016 at 2:00 p.m. ET  
At the Super Convention, Westin Waterfront, Boston, Massachusetts  
And via tele-conference**

**MINUTES**

**Directors Present:** Chuck Herbert, Susan Aschenbrenner, Brian Curran, Sandra Currier, Bret Day, Gail Kline, Scott Matton, Margaret McNeese, David Mount, Karen Richardson, Matt Shiflet, Judy Werner and Kenny Wheeler.

**Others Present:** Lisa Duncan; Registrar, Katriona Adams; Assistant Registrar, Bill Whitley; ASHA Executive Director, Bob Funkhouser; ASHA President, Fred Sarver; Registry Committee Chair, Walter S. Robertson, Stites & Harbison and Elisabeth Goth, newly elected Director.

**I. Roll Call/Establish Quorum/Consider Agenda**

Mr. Herbert, ASR President, called the meeting to order and asked Ms. Duncan to call the roll. A quorum was present. Ms. Aschenbrenner made a motion to amend the agenda to consider the Registry Committee Recommendations first. The motion was seconded and passed.

**II. Introduction of Guests**

Mr. Herbert welcomed Directors and guests and noted that there were some great committee presentations at the convention that morning.

**III. Registry Committee Recommendations**

Walter Robertson explained that the Equine Welfare Committee has been responsible for drafting the rule change proposal. He has looked at the language and is participating in this meeting to explain the risk to the Registry. He explained that there are a lot of people trying to get transfers of ownership for “rescue type” horses without the signature of the recorded owner and the rules currently require a court order.

After much discussion it was agreed to refer the rule change proposal back to the Registry Committee with a request to adjust it the way the Board wants and bring back to the July Board meeting, i.e. to include a provision that the owner has certified that they are releasing the Registry from risk / require indemnification of the Registry. Also, add

language to say that the owner has to provide “*such other information as the Registry may require.*”

## **SECTION V. TRANSFER OF OWNERSHIP**

### **E. TRANSFER WITHOUT SIGNATURE OF RECORDED OWNER**

*4. If the Chain of Ownership is broken at any time regardless of the location of the registration certificate; and a transfer signature(s) is unavailable; and the horse has gone through an auction that was not authorized by the American Saddlebred Registry or been seized by a legal entity or obtained by a registered 501c3 rescue organization, upon completing and submitting to the Registry appropriate application documentation (that includes the name of the organization and the color, sex, and markings of the horse, if the registered name is not listed), and proof of horse identity (DNA or blood typing) and payment of fees in full, the Registry will request signature from the last recorded owner. See Section XI. regarding Fees.*

*A certified returned receipt letter will be sent to the last recorded owner requesting their signature and will include notification that the horse in question may be transferred without their signature if they fail to respond; if no response and at least thirty (30) days apart a duplicate letter will be sent certified return receipt mail; if no response or if the letters are undeliverable after fifteen (15) days from second attempt, the registry has the authority to waive the requirement for the recorded owner(s) signature and will issue the registration certificate to the current owner upon approval of the Registry Committee.*

## **SECTION XI. FEES**

### **OTHER REGISTRY TRANSACTION FEES**

*Staff assistance with updating chain of ownership for horse. (See Rule. V. E. 4.) \$100.00 (Also requires DNA/Blood typing test fee and Transfer of Ownership fees)*

#### **IV. Consideration of draft minutes of November 3, 2015 meeting**

Mr. Day made a motion to approve the draft minutes of the November 3, 2015 ASR Board meeting, Mr. Matton seconded and the motion was approved.

#### **V. Remaining Registry Committee Recommendations**

Mr. Herbert noted that Mr. Day had input regarding the below rule change proposal regarding naming. Discussion took place about the benefits of this proposal as well as how it would be implemented. Ms. Kline made a motion to approve the proposal including the fee schedule, Mr. Mount seconded and the motion was approved with all in favor.

## **SECTION III. REGISTRATION**

### **H. NAMES**

*7. Name choices are not required at the time of application for registration. Owners may choose to name their horse at the time the application is submitted for no additional fee by providing name choices on the application for registration form. It is recommended that three name choices be provided on the application form in order of the owner's preference. If the requested names are not available, the owner will be contacted to supply new options.*

*However, if no name choices are listed on the application at the time of submission, the American Saddlebred Registry will complete the registration process (registration number will be assigned) provided all other requirements for registration are met. Owners of unnamed "registered" horses will be required to submit a Name Claim form along with a Name Claim fee before the Certificate of Registration will be issued. Registration certificates will only be issued for those horses that have officially claimed names.*

*The American Saddlebred Registry will not record any further transactions concerning an unnamed animal until a name has been officially claimed. See Section XI regarding Fees.*

## **SECTION XI. FEES.**

### **OTHER REGISTRY TRANSACTION FEES**

#### *Naming Fees*

*Name submitted on the application for registration form at the time of submission or after submission and prior to the registration number being officially assigned by the American Saddlebred Registry.*

*Name Claim submitted by February 1 of the foal's two-year-old year* *Free*

*Name Claim submitted after February 1 of the foal's two-year-old year* *\$25.00*

**Proposed effective date:** January 1, 2017.

The name will appear in the following format until a name has been claimed:

Name line on the pedigree – Example: *2015 foal of Dam's Name.*

This is also how it will appear on the website and in our database. In order to maintain Futurity/Sweepstakes eligibility, a registration number must be issued by March 31<sup>st</sup> of the yearling year.

Ms. Duncan explained a proposal to increase the fee for conversions to DNA from \$30 to \$45. She explained that the UK Lab has increased their fee effective January 1, 2016. After discussion, Ms. Aschenbrenner made a motion to increase the fee to \$50, Ms. Werner seconded and the motion was passed with all in favor.

### **Broodmare Hall of Fame**

Mr. Sarver reported that the Registry Committee reconsidered the rules for Broodmare Hall of Fame as requested by Bob Funkhouser at the November 3, 2015 ASR Board of Directors meeting. The committee does not have any recommendations at this time, however, they are continuing to do a thorough review of the rules and may have a recommendation for the July Board of Directors meeting. The Board agreed with this course of action.

## **VI. Financials**

**a. Merrill Lynch Report**

**b. Treasurer's Report & Registry Financial Statements**

A written report from Merrill Lynch was included in the Board packet. Mr. Curran, ASR Treasurer, explained that he did not get caught up on our Financials, however, he did look at the Futurity account which is not making any money. Merrill Lynch suggested that we put 20% in CD's. The Finance Committee has reviewed this and recommends moving \$50,000 from the \$245,000 into CD's. Mr. Mount made a motion to invest \$50,000 from the ASR Futurity account in bonds or CD's that Merrill Lynch determines to have the best available yield, Mr. Wheeler seconded and the motion was approved with all in favor.

The Finance Committee also noted that a \$10,000 bond has reached maturity and recommends that the \$10,000 be moved to high quality low risk bonds. Ms. Currier made a motion to this effect, Mr. Mount seconded and the motion was approved with all in favor.

**c. Draft 2016 Budget**

Ms. Duncan explained that when she works on the budget, she looks at the history of the number of mares bred and at the registry statistics. The draft 2016 budget has been approved by the Finance Committee. She noted that the legal fees were high in 2015 as we had a couple of legal issues. Mr. Wheeler made a motion to approve the draft 2016 budget, Ms. Currier seconded and the motion was approved with one abstention.

**VII. President's Report**

**a. Guidelines for Directors & Conflict of Interest Disclosure Statement**

Mr. Herbert noted that each Director needs to read through the Guidelines for Directors and complete the Conflict of Interest form and return them to Ms. Duncan.

**b. State Futurities Sub-Committee Update**

Ms. Currier reported on the State Futurities Sub-Committee work. They have discussed some rough ideas and have identified that for State Futurities where there is also a stallion auction, the payout amounts are higher. The committee will present their recommendations as a package to the Board once complete.

**c. Half Saddlebred Sub-Committee Update**

Ms. Duncan reported on the Half Saddlebred Sub-Committee work. A first draft of rules for Half Saddlebreds has been done and class specifications will be added. Suggestions were made to include Dutch Fine Harness and to try to find unregistered Half Saddlebreds and get them registered. A suggestion was also made to add Roadster and Fine Harness.

**VIII. Registrar's Report**

**a. Registry Statistics**

Ms. Duncan reported on the Registry Statistics for 2015. 3,374 transfers have been processed compared to 3,385 for 2014. She noted that the reported numbers by foal crop will increase each year as applications for registration of older horses are received and processed. 1,478 applications for registration were received in 2015 and 1,501 were processed in 2015. A discussion took place and the Board asked if we could get a report that would give us the number of completed transactions versus the number pending for transfers and for registrations. Staff will look into this. Ms. Duncan will provide the Board with breed statistics once she gets them from Debbie Fuentes, Registrar with the Arabian Horse Association.

**b. Mare Harmony**

Ms. Duncan reported that the Mare Harmony Committee recommends that due to lack of interest in advertising, that the Mare Harmony Program be put on hold and re-visited in the future. The Board approved the recommendation.

**c. Update on Futurity Committee Rule Change Proposals**

Ms. Duncan gave an update on the Futurity Committee rule change proposals which were passed by the USEF Board and will be in effect as of April 1, 2016.

**d. Breeder's Award Medallions**

Ms. Duncan reported that a photo of a Breeder's Award Medallion was included in the Board packet and that the American Saddlebred Museum is paying for the medallions again this year.

**e. Sport Horse Committee Brochure**

Ms. Duncan reported that the Sport Horse Committee's brochure is nearly finalized and that we just need to add the logos. Mr. Herbert noted that Paula Briney gave an excellent presentation for the Sport Horse Committee.

**IX. ASR Nominating Committee Report**

Dr. McNeese presented the Nominating Committee report. The committee is nominating Gail Kline and Judy Werner as the At Large Directors for the three-year terms commencing February 2016. Mr. Wheeler made a motion to accept the nominations, Mr. Mount seconded and the motion was approved with all in favor. Mr. Herbert reported that Elisabeth Goth and Donna Pettry-Smith were elected as the ASHA representatives to the Registry Board.

**X. Saddlebred Record**

Ms. Duncan reported that Kim Skipton and Louise Gilliland had graciously sent us a list of Saddlebred Record Candidates after researching historical records. A motion was made and seconded to approve the candidate. A suggestion was also made to post them on the website and to include in eNews.

**XI. Other Business**

Mr. Whitley reported on Journal ads and also reported on some new policies for show results. A suggestion was made to ask the UPHA Chapter Chairs to assist with competitions that have not submitted show results.

A suggestion was made to set up farm tours to encourage more business. Mr. Herbert noted that he will appoint some committees after the meeting.

**XII. Executive Session**

The Board moved into Executive Session at 4:45 p.m. during which Officers were elected as follows:

Brian Curran, President  
Gail Kline, Vice-President  
Susan Aschenbrenner, Treasurer  
Dr. Margaret McNeese, Secretary

Submitted by:  
*Katriona Adams*  
Assistant Registrar