



### *ASR Rules Changes effective January 1, 2017*

The American Saddlebred Registry Board of Directors approved the following rule changes with an effective date of January 1, 2017. Note: the approved new language is in ***bold italicized*** text. A complete list of all ASR Rules and Regulations is available on our website at [www.saddlebred.com](http://www.saddlebred.com), Registry, Governance.

#### **SECTION III REGISTRATION**

##### **A. ELIGIBILITY AND REQUIREMENTS**

***8. A Certificate of Approximate Age of Horse form completed by a licensed veterinarian and current photos must accompany the application for registration when the dam is listed on a stallion's stallion service report for more than one breeding season, and foals resulting from those breedings have not been registered by December 31 of their foaling year.***

##### **H. NAMES**

***7. Name choices are not required at the time of application for registration. Owners may choose to name their horse at the time the application is submitted for no additional fee by providing name choices on the application for registration form. It is recommended that three name choices be provided on the application form in order of the owner's preference. If the requested names are not available, the owner will be contacted to supply new options.***

***However, if no name choices are listed on the application at the time of submission, the American Saddlebred Registry will complete the registration process (registration number will be assigned) provided all other requirements for registration are met. Owners of unnamed "registered" horses will be required to submit a Name Claim form along with a Name Claim fee before the Certificate of Registration will be issued. Registration certificates will only be issued for those horses that have officially claimed names. The American Saddlebred Registry will not record any further transactions concerning an unnamed animal until a name has been officially claimed. See Section XI. regarding Fees.***

##### **SECTION XI. FEES.**

###### ***Naming Fees***

***Name submitted on the application for registration form at the time of submission or after submission and prior to the registration number being officially assigned by the Registry***      ***Free***

***Name Claim submitted by February 1 of the foal's two-year-old year***      ***\$25.00***

***Name Claim submitted after February 1 of the foal's two-year-old year***      ***\$250.00***

##### **ASR FUTURITY RULES**

To continue eligibility, pending applications must be completed before March 31 of the yearling year, i.e., ***a name must be claimed, a registration number assigned, and*** results of blood typing or DNA testing must be received in the American Saddlebred Registry office before March 31.

##### **ASR SWEEPSTAKES RULES**

Two-Year-Old Sweepstakes: Open to American Saddlebred yearlings that have been *assigned a registration number, claimed a name, and DNA testing or blood typing results completed*, with the American Saddlebred Registry before the nomination closing date of June 1 of the horse's yearling year.

Three-Year-Old Sweepstakes: Open to American Saddlebred yearlings that have been *assigned a registration number, claimed a name, and DNA testing or blood typing results completed*, with the American Saddlebred Registry (or application received in the ASR office) before the nomination closing date of March 1 of the horse's yearling year. "In order to maintain sweepstakes eligibility, pending applications, including *a registration number assigned, a name claimed*, and DNA testing *or blood typing* results, must be completed prior to March 31 of the yearling year.

#### **SECTION V. E. TRANSFER WITHOUT SIGNATURE OF RECORDED OWNER**

**4. If ownership of a registered American Saddlebred is conveyed: (i) pursuant to an auction that was not authorized by the American Saddlebred Registry, (ii) pursuant to the seizure by a governmental agency pursuant to legal process or (iii) pursuant to a legal adoption by a nonprofit rescue organization exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code; and if the chain of ownership with respect to such registered American Saddlebred is broken with transfer signature(s) being unavailable, upon completing and submitting to the Registry appropriate application documentation (that includes the name of the organization and the color, sex, and markings of the horse, if the registered name is not listed), proof of horse identity (DNA or blood typing), payment of fees in full, and such other information as the Registry may from time to time, in its discretion request, the Registry will notify the last recorded owner of such horse. The notification shall be delivered to the last recorded owner at such owner's address on file with the Registry by registered mail, return receipt requested. The notification shall notify such owner that ownership of the horse has been conveyed, the acquirer has requested that a duplicate registration certificate be issued and/or the transfer of ownership to the acquirer be recorded with the Registry and request that such owner consent to the issuance of the registration certificate and recording of the transfer of the horse to the acquirer. The notification shall further provide that if the owner fails to respond to the notification, the Registry may issue a duplicate registration certificate and record such transfer on its books and records.**

**In the event the last recorded owner fails to respond within 30 days of the date of the first notification or if the letter is returned as undeliverable, the Registry shall send a duplicate letter to the last recorded owner. In the event the last recorded owner fails to respond to the second letter within 30 days of the date of the second letter or if the letter is returned as undeliverable, the Registry may, in its discretion and taking into account such factors as it deems appropriate in its discretion, issue a duplicate registration certificate for such horse and record the transfer of such horse to the acquirer. Any acquirer requesting the issuance of a duplicate certificate and recording of a transfer under this Section agrees, prior to any action by the Registry, to provide the Registry any and all information requested by the Registry with respect to the horse and the transfer of the horse. The Registry reserves the right, in all circumstances, to refuse to issue any duplicate certificates or record the transfer of any horse under this Section. The Registry may convene the Registry Committee to decide whether to issue any duplicate certificates and record transfers under this Section.**

**In the event the Registry elects to issue a duplicate certificate and record the transfer under this Section, the acquirer agrees to indemnify, defend and hold harmless the Registry, its officers, employees, agents, representatives directors, successors and assigns from and against any and all claims, causes of action, losses, liabilities, damages, fees and expenses (including attorneys' fees) of any kind with respect to the issuance of such duplicate certificate and recordation of the transfer of such horse to the acquirer.**

#### **SECTION XI. FEES**

**Staff assistance with updating chain of ownership for horse. See Rule. V. E. 4. \$100.00  
(Also requires DNA/Blood typing test fee and Transfer of Ownership fees)**