



# AMERICAN SADDLEBRED NATIONAL FUTURITIES

## NATIONAL FUTURITY AND NATIONAL AMATEUR FUTURITY

### 2021 RULES AND REQUIREMENTS

**DIVISIONS AND HOW SHOWN** — The American Saddlebred National Futurity will be held in nine divisions: WEANLINGS AND YEARLINGS shown In-Hand, TWO-YEAR-OLDS shown in Fine Harness or Three-Gaited, and THREE-YEAR-OLDS shown in one of five classes: Five-Gaited, Three-Gaited, Fine Harness, Park or Park Pleasure (mares and geldings only). Entries may compete in only one section. The American Saddlebred National Amateur Futurity (must be shown by an amateur) will be held each year in four divisions: WEANLINGS, YEARLINGS, and TWO-YEAR-OLDS shown In-Hand and THREE-YEAR-OLDS shown in Park Pleasure (mares and geldings only). The classes are conducted under the rules of the US Equestrian Federation. See specific rules for each division in the US Equestrian Rule Book or refer to the Kentucky State Fair prize list.

**DECLARATION OF INTENT TO SHOW** — A signed Declaration of Intent to Show and a \$50.00 starting fee payment for each entry must be made to the American Saddlebred Futurity Secretary by the deadline date as determined for such payment. All starting fees will be added to the purse for the appropriate class. **Failure to declare and pay the starting fee by the designated deadline will result in an added \$500 penalty which must be paid before the last day of the competition.**

**DIVISION OF IN HAND CLASSES** — Any class in the IN-HAND division with 41 or more declared entries shall be divided as equally as possible into two sections. When a class has been divided, the division of entries will be posted in the horse show office and also announced. No more than 12 entries from the sections shall be selected by the judges to return for a final class to be held at a morning, afternoon or evening performance, at least two days following the preliminary sections. All prize money will be awarded in the final class.

**SHOWING PROCEDURE FOR IN-HAND ENTRIES** — Entries will enter the ring one at a time showing at a trot, be led down the right-hand rail to the other end of the ring, return to the judges at a trot, and then be judged on conformation. On the return lead, entries are permitted to trot past the judges to the end of the ring, if so desired, and then return to the judges. Entry will then be led to the opposite rail at a walk, out of the way of subsequent entries. A second workout is strongly encouraged in a large class at the judges' discretion. If judged on conformation a second time, entries must again be led at a walk and trot. Entries are not to be judged lunging. NOTE: Pursuant to U.S. Equestrian Federation: Conformation and finish count 50% of the total score. All entries should be judged according to the standards for the ideal American Saddlebred type and conformation. Defects must be penalized.

**DIVISION OF CLASSES** — The FINE HARNESS class shall be divided as equally as possible into two sections, if 12 or more declarations and fee payments have been filed with the American Saddlebred Futurity Secretary by the deadline date. FIVE-GAITED, THREE-GAITED, PARK and PARK PLEASURE classes must be divided as equally as possible into two sections, if 20 or more declarations and fee payments have been filed with the American Saddlebred Futurity Secretary by the deadline date. When classes have been divided, the division of entries will be posted in the horse show office and also announced. No more than 12 entries will be selected from the FINE HARNESS sections, and no more than 16 entries from the FIVE-GAITED, THREE-GAITED, PARK and PARK PLEASURE sections will be selected to show in a final class to be held at a morning, afternoon or evening performance, at least two days following the preliminary sections. **ENTRIES ARE TO BE STRIPPED AND JUDGED ON CONFORMATION IN THE QUALIFYING CLASSES.** All prize money will be awarded in the final class.

**SHOWING PROCEDURES FOR PERFORMANCE, PARK AND PARK PLEASURE ENTRIES** — **Fine Harness entries:** Exhibited at an animated walk; park trot (speed to be penalized) and "show your horse," to stand quietly. *Judged on performance, conformation, presence, quality, and manners.* **Five-Gaited entries:** Qualifying gaits are flat walk or animated walk, trot, slow gait, rack and canter. *Judged on performance, conformation, presence, quality, and manners.* Entries are to be stripped. **Three-Gaited entries:** To be shown at flat walk or animated walk, park trot and canter. *Judged on performance, conformation, presence, quality, and manners.* Entries are to be stripped. **Park entries:** Shown at a walk, trot and canter. *Judged on performance, conformation, presence, quality and manners.* Entries are to be stripped. **Park Pleasure entries:** Shown at a flat walk, trot, and canter. Horses must stand quietly and back readily in the lineup. *Judged on manners, performance, conformation, presence, and quality.* Entries are to be stripped.

**WEANLING ELIGIBILITY** — To keep a weanling eligible to show in the American Saddlebred National &/or National Amateur Futurities, the following conditions must be fulfilled:

1. A weanling nomination fee of \$150.00 must be paid to the American Saddlebred National Futurity &/or National Amateur Futurity on or before March 31 of the year the foal is born.

**NOTE:** A weanling nomination fee of \$150.00 must be paid for each foal/embryo produced by a donor mare in order to maintain eligibility for any of the foals/ embryos.

2. Weanling nomination payment(s) not made by the March 31 deadline will be allowed a 30-day penalty period with an additional \$100 penalty per horse, per nomination.

3. Foals showing in the National Futurity &/or National Amateur Futurity Weanling Divisions must have applications for registration received in the American Saddlebred office by June 15, or, in the event this date falls on a Saturday or Sunday, by 4:30 p.m. ET on the following business day. NO EXCEPTIONS. A rush fee of \$100 will be assessed for any such applications received after June 15. Such fee will be billed to the applicant and will be due and payable immediately. HOWEVER, ALL WEANLINGS MUST BE DNA TESTED AND A NAME CLAIMED PRIOR TO SHOWING IN THE AMERICAN SADDLEBRED NATIONAL &/OR NATIONAL AMATEUR FUTURITIES, I.E., PENDING APPLICATIONS (including DNA testing) MUST BE COMPLETED.

4. If not shown as a weanling, the foal must be registered (or application for registration postmarked) by December 31 of the foaling year. Pending applications (including DNA testing and a registration number assigned) must be completed before March 31 of the yearling year.

**YEARLING ELIGIBILITY** — To keep a yearling eligible to show in the American Saddlebred National &/or National Amateur Futurities, the following conditions must be fulfilled:

1. The horse must have been nominated as a weanling, and all conditions and requirements must have been fulfilled.

2. A yearling payment of \$150.00 must be paid to the American Saddlebred National Futurity &/or National Amateur Futurity on or before January 31 of the yearling year.

3. Yearling payment(s) not made by the January 31 deadline will be allowed a 30-day penalty period with an additional \$100 penalty per horse, per nomination.

4. At the time of the January 31 yearling payment, the owner of any foal/embryo produced from a mare with multiple foals must declare whether such owner's foal/embryo is to be kept eligible.

**TWO-YEAR-OLD ELIGIBILITY** — To keep a two-year-old eligible to show in the American Saddlebred National &/or National Amateur Futurities, the following conditions must be fulfilled:

1. The horse must have been nominated as a weanling, and all conditions and requirements for a weanling and yearling must have been fulfilled.

2. A two-year-old payment of \$150.00 must be paid to the American Saddlebred National Futurity &/or National Amateur Futurity on or before January 31 of the two-year-old year.

3. Two-year-old payment(s) not made by the January 31 deadline will be allowed a 30-day penalty period with an additional \$100 penalty per horse, per nomination.

**THREE-YEAR-OLD ELIGIBILITY** — To keep a three-year-old eligible to show in the American Saddlebred National &/or National Amateur Futurities, the following conditions must be fulfilled:

1. The horse must have been nominated as a weanling, and all conditions and requirements for a weanling, yearling and two-year-old must have been fulfilled.

2. A three-year-old payment of \$150.00 must be paid to the American Saddlebred National Futurity &/or National Amateur Futurity on or before January 31 of the three-year-old year.

3. Three-year-old payment(s) not made by the January 31 deadline will be allowed a 30-day penalty period with an additional \$100 penalty per horse, per nomination.

4. Beginning in 2024, any three-year-old not nominated to the National &/or National Amateur Futurities in the foaling year, will be allowed to be nominated and "buy-in" for a one-time payment of \$5,000. This payment must be received or postmarked on or before January 31 of the horse's three-year-old year (there will be no grace period).



# AMERICAN SADDLEBRED NATIONAL FUTURITIES

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### 2021 RULES AND REQUIREMENTS

**PAYMENT OF FEES** – Payments can be made by check, money order, Visa, MasterCard, Discover or AMEX. A 3% processing fee will be added to all credit/debit card transactions. A \$25.00 service charge will be assessed on any returned checks and added to the total due. All payments must be made to the American Saddlebred Futurities and mailed to 4083 Iron Works Parkway, Lexington, Kentucky 40511, faxed to 859/259-1628 or e-mailed to [p.edwards@asha.net](mailto:p.edwards@asha.net), on or before the dates herein specified, or bear U.S. postmark before midnight of such dates. In the event a closing date falls on a Sunday or legal holiday, postmark bearing the date of the following day will be accepted. NOTE: U.S. Postal Service postmark supersedes a postage meter date.

**LIABILITY** – No entry is liable for more than the amount paid in, but there will be no return of payment. The right is reserved to reject any entry and bar any entry from competition for failure to comply with the conditions of this futurity. For future reference, keep a copy of all nominations and rules.

**REGISTRATION** – All horses must be registered (or application for registration postmarked) by December 31 of the foaling year in order to maintain eligibility. To continue eligibility, pending applications must be completed before March 31 of the yearling year, i.e., a registration number assigned and results of DNA testing must be received in the American Saddlebred office before March 31. Foals showing in the National &/or National Amateur Weanling Divisions must have applications for registration received in the American Saddlebred office by June 15, or, in the event this date falls on a Saturday or Sunday, by 4:30 p.m. ET on the following business day. NO EXCEPTIONS. A rush fee of \$100 will be assessed for any such applications received after June 15. Such fee will be billed to the applicant and will be due and payable immediately. HOWEVER, A WEANLING MUST BE REGISTERED (A NAME CLAIMED AND A REGISTRATION NUMBER ASSIGNED) – RESULTS OF DNA TESTING MUST BE IN THE AMERICAN SADDLEBRED OFFICE – PRIOR TO SHOWING IN THE AMERICAN SADDLEBRED NATIONAL &/OR NATIONAL AMATEUR FUTURITIES.

**KENTUCKY STATE FAIR ELIGIBILITY** – All horses, INCLUDING AMERICAN SADDLEBRED FUTURITY ENTRIES, must be entered properly on printed forms furnished by the Kentucky State Fair. Please contact the Horse Show Office at 502/367-5300, or write to Entry Department, Kentucky State Fair, P. O. Box 37130, Louisville, Kentucky 40233. Entry blanks for the Kentucky State Fair will be available for downloading from the Kentucky State Fair web site, [www.kystatefair.org](http://www.kystatefair.org).

**PRIZE FUND** – The value of the prize funds for the American Saddlebred National Futurity and National Amateur Futurity each year shall be the total amount of money paid in fees, miscellaneous funds, added donations, and interest, less administrative costs.

**DISTRIBUTION OF PRIZE MONEY** – The prize money is paid to the owners of the winning entries at the time the Futurity classes are held and will be divided equally among the weanling, yearling, two-year-old, and three-year-old divisions. In the case of a leased horse, written authorization from the current owner will be required to release the prize money to the lessee. In each division, each winner will receive 40% of the prize fund for that division; 2nd, 25%; 3rd, 15%; 4th, 10%; 5th, 5%; 6th, 2.5%; 7th, 1.5%; and 8th, 1%. Should there be fewer than eight entries showing, the entries that do show will share the remainder of the prize fund in the same proportion designated to the place they have won. The total prize fund each year will be paid out, regardless of the number shown. American Saddlebred Futurities reserve the right to cancel a class if there are no entries. If a class is cancelled, the prize fund will be redistributed among the classes that do show. An IRS form 1099 will be sent to all money winners.

**U.S. EQUESTRIAN FEDERATION DRUG TESTING** – All American Saddlebred Futurity classes are subject to US Equestrian Federation drug-testing procedures. See US Equestrian Federation Chapter 4, GR401-GR413 governing “Drugs & Medications.” If an entry has been disqualified by the American Saddlebred Futurities, US Equestrian Federation or the horse show where the horse has competed, the owner shall forfeit and return all premium money, trophies and ribbons upon notification.

**IF A PREVIOUSLY NOMINATED HORSE IS SOLD, IT IS THE SELLER’S RESPONSIBILITY TO NOTIFY THE NEW OWNER OF PAYMENT DUE DATES.** Entries will automatically be deleted without further notice if payment is not postmarked by the last day of the penalty period closing date. **NO REFUNDS ON ANY FEES RECEIVED.**

**NOTICE – AGENTS AND/OR OWNERS MAKING ENTRY IN THIS FUTURITY ARE RESPONSIBLE FOR MAKING PAYMENTS WHEN DUE. THE AMERICAN SADDLEBRED FUTURITIES ASSUME NO RESPONSIBILITY FOR REMINDING PARTICIPANTS OF PAYMENT DATES. It is strongly recommended to mail payments and nominations by certified mail or another mail carrier with tracking.**

### PAYMENT SCHEDULE

	Weanling Year (foaling year)	Yearling Year	2-Year-Old Year	3-Year-Old Year
<b>Due Dates</b>	<b>March 31</b>	<b>January 31</b>	<b>January 31</b>	<b>January 31</b>
<b>National Futurity</b>	\$150.00	\$150.00	\$150.00	\$150.00
<b>National Amateur Futurity</b>	\$150.00	\$150.00	\$150.00	\$150.00

The above nomination payment dates will be held open for a 30-day penalty period following the normal closing date with an additional \$100 penalty per horse, per nomination. Entries received bearing a postmark later than the last day of the penalty period will not be accepted for any reason whatsoever. In the event the normal &/or penalty period closing dates fall on a Sunday or legal holiday, postmark bearing the date of the following day will be accepted. A U.S. Postal Service postmark supersedes a postage meter date.

ALL NOMINATORS AND PRIZE MONEY WINNERS MUST BE COMPETING MEMBERS OF ASHA.

ALL OWNERS/TRAINERS/RIDERS/DRIVERS/HANDLERS PARTICIPATING AT THE KSF WORLD’S CHAMPIONSHIP HORSE SHOW (INCLUDING AMERICAN SADDLEBRED FUTURITIES) MUST BE COMPETING MEMBERS OF ASHA.

FORM (1/21) KEEP A COPY FOR YOUR RECORDS